

Patent Attorney's Docket No. 004501-541

## ED STATES PATENT AND TRADEMARK OFFICE

In re F	atent Appl	ication of	)				
Andre	as FABRI,	et al.	)	Group Art Unit: 2152			
Application No.: 09/833,729			) )	Examiner: Unassigned			
Filed:	April 13,	2001	)				
For:	or: METHOD FOR SETTING UP A COMMUNICATION LINK BETWEE AN EMBEDDED SERVER AND A CLIENT COMPUTER						
		INFORMATION DIS TRANSMI					
	ant Commi ngton, D.C	ssioner for Patents C. 20231					
Sir:							
above-		is an Information Disclosure spatent application.	Stateme	nt and accompanying form PTO-1449 for the			
	[X] No additional fee for submission of an IDS is required.						
	[]	The fee of \$180.00 (126) as set	t forth i	n 37 C.F.R. § 1.17(p) is also enclosed.			
•	[] A	certification under 37 C.F.R	. § 1.97	(e) is also enclosed.			
		A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set for in 37 C.F.R. § 1.17(p) are also enclosed.					
	[]	Charge \$ to Depo	osit Acc	ount No. 02-4800 for the fee due.			
	[ ] A	check in the amount of \$		is enclosed for the fee due.			
	6, 1.17 and	=	this pa	rge any appropriate fees under 37 C.F.R. per, and to credit any overpayment, to d in duplicate.			
			Respec	tfully submitted,			
			BURNS	, Doane, Swecker & Mathis, l.l.p.			
Alexar	30x 1404 Idria, Virg 336-6620	inia 22313-1404		Robert S. Swecker Registration No. 19,885			

Date: September <u>25</u>, 2001

(7/01)



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Pate	ent Application of	)		
Andreas	FABRI, et al.	)	Group Art	Unit: 2152
A nnligati	ion No - 00/922 720	)	Tramina.	IImaaaiamad
Applicati	ion No.: 09/833,729	)	Exammer:	Unassigned
Filed: A	april 13, 2001	)		
For: N	METHOD FOR SETTING UP A	)		
(	COMMUNICATION LINK BETWEEN	)		
A	AN EMBEDDED SERVER AND A	)		
	CLIENT COMPUTER	)		

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Bv:

Robert S. Swecker Registration No. 19,885

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Date: September  $\underline{25}$ , 2001

#### 00/071 US



# ABB Power Automation AG **BADEN / SWITZERLAND**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

	(inventors)
claiming Priority under 3	5 U.S.C. 119
of application No.:	00810322.8
in:	EUROPE
with filing date of:	13 April 2000
U.S. Serial Number:	
U.S. filing date:	
Title: Method for setting up	o a communication link between an embedded server and a client computer
	·
INFORMATIO	ON DISCLOSURE STATEMENT UNDER 37 CFR 1.97
Honorable Commissione	r of Patents and Trademarks, Washington, D.C. 20231
Sir:	
	Search Report/Examination Report issued in a corresponding by the
Submitted herewith is a	
Submitted herewith is a storeign patent application	n by the

- Examination Report in accordance with 37 CFR 1.97.
- 2. The relevance of each listed item with regard to the different claims of the above-identified application according to the opinion of the Search Authority/Examination Authority, is indicated in the Search Report/Examination Report

by reference to certain categories which are explained on the Report sheet or in MPEP on page 1800 - 55, resp.

3. The claims as filed in the above-identified U.S. patent application					
correspond to	(x)				
are different from	()				
the claims for which the Search Report/Examination Report has been established.					
4. Copies of the references cited are enclosed herewith.					
All citations	(x)				
All relevant citations except U.S. patents	()				
<ol> <li>English translations of pertinent portions of any non-English references are enclosed herewith, if such translation is readily available.</li> <li>No copies are enclosed herewith of references which are characterized by an "A" in the Search Report/Examination Report, since "A" indicates that such reference is not relevant for the invention. ()</li> <li>Additionally, copies of the references cited in the specification are enclosed. (X)</li> </ol>					
Date: 14 <sup>th</sup> . February 2001	Respectfully submitted, by:  Markus Müller				

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Substitute for form 1449A/PTO

INFORMATION BESCHOSURE
STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.	APPLICATION NO.			
004501-531	09/833,729			
APPLICANT				
Andreas FABRI, et al.				
FILING DATE	GROUP			
April 13, 2001	2152			

3.00 S		****	U.S. PATENT DOCU	IMENTS					
	U.S. Patent [	Document							
Examiner Initials	Kind Code Number (if known)		Name of Patentee or Applicant of Cited Document			Date of Publication (MM-DD-YYYY)			
-	5,805,442		Crater et al.			09-08-19	98		
	5,987,135		Johnson et al.			11-16-19	99		
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Examiner	Foreign Patent	Kind Code	-		Do	Barrier B. H.			
Initials	Number (if known)		Country Europe		Date of Publication (MM-DD-YYYY)		Yes	lation no	
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	land, de						10 m 10 m		
Examiner			r (in CAPITAL LETTERS), rnal, serial, symposium, c						
Initials	publisher, city and/or country where published.								
	R. Snell, "Web-Based Device Monitoring and Control", March 1999, pp. 283-294.								
	B. Venners, "Jini Technology, Out Of The Box", JAVAWORLD, December 1988, pp. 1-4								
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Examiner Signature				Date Considered					